APPENDIX A3.3-9

BATCH REALEASE CERTIFICATES

Batch release certificates for the Menthol 2 Tobacco Stick are provided.

Please note that the certificates are for batches intended for the Japanese market, as no batches have been manufactured for the US market. However, these products are representative of those intended for the US market.

BRC_41-2572271

BRC_41-2572272

BRC_41-2572273

Confidentiality Statement

Confidentiality Statement: Data and information contained in this document are considered to constitute trade secrets and confidential commercial information, and the legal protections provided to such trade secrets and confidential information are hereby claimed under the applicable provisions of United States law. No part of this document may be publicly disclosed without the written consent of Philip Morris International.

PHILIP MORRIS INTERNATIONAL	**Batch Release Certificate**
	Record N° P1_0198/2016
Reference Procedure D45013 – Batch release for Commercial Manufacturing	

Product Name:	HeatStick Menthol
(ME) Product code	ME000006.01
Manufacturer	Philip Morris International
Manufacturing site	Training Center
Quality Control sites	Lab @ TC; LAB @ PPQ
Market country	Japan
SAP (ME) Batch number	41-2572271
SAP Process Order	101457516
Produced Quantity (packs)	(b) (4)
Retained and released samples	(b) (4)
Date of manufacture	15/07/2016
Best used before date	11/05/2017

SPECIFICATIONS:

See Attached "PLM Technical Data Sheet" and "PLM Maintain Parameters Sheet"

ATTACHED DOCUMENTS:

Annex 1	Technical Data Sheet	Platform	PLM
Annex 2	Maintain Global Parametr _ Dimensions	Platform	PLM
Annex 3	Maintain Global Parametr _ Weight	Platform	PLM
Annex 4	Maintain Global Parametr _ Deliveries	Platform	PLM
Annex 5	List of pallet released	Platform	LES

RESULTS

See" Attached Certificates"

31/08/16

ATTACHED CERTIFICATES:

Certificate number	6AAAL_41-2572271_PHY	Laboratory/ Other	LAB @ TC
Certificate number	2016.07.21_41-2572271_6AAAL_TSCHE_V2.0	Laboratory/ Other	LAB @ PPQ
Certificate number	na	Laboratory/ Other	na

STATEMENT

I hereby certify that :

- The attached information are authentic and accurate;
- All necessary production and quality control documentation has been completed and endorsed by the staff authorized to do so;
- The results of the analyses and assays on which it is based will be provided on request to the competent authorities;
- The batch processing, packaging and analysis records were reviewed and found to be in compliance with PMI RRP manufacturing requirements;
- Any deviation during manufacturing process or during quality control, has been investigated and properly managed according to PMI RRP procedures;
- The batch has been released based on the active PLM technical data sheet at the time of release.

I confirm that I have been granted the authority and independence for making this declaration.

Based on this information the batch is considered:

APPROVED ☒ **REJECTED** ☐

COMMENTS

na

Name, position and address of the person authorising the batch release:	Kerstin Velser - Manager Quality Assurance Via Fratelli Rosselli 4 40069 Zola Predosa Italy

Signature: Margherita Rati **Date:** 31/08/16

ON BEHALF OF KERSTIN VELSER

PHILIP MORRIS INTERNATIONAL	**Batch Release Certificate**
	Record N° P1_0199/2016
Reference Procedure D45013 – Batch release for Commercial Manufacturing	

Product Name:	HeatStick Menthol
(ME) Product code	ME000006.01
Manufacturer	Philip Morris International
Manufacturing site	Training Center
Quality Control sites	Lab @ TC; LAB @ PPQ
Market country	Japan
SAP (ME) Batch number	41-2572272
SAP Process Order	101457517
Produced Quantity (packs)	(b) (4)
Retained and released samples	(b) (4)
Date of manufacture	16/07/2016
Best used before date	12/05/2017

SPECIFICATIONS:

See Attached "PLM Technical Data Sheet" and "PLM Maintain Parameters Sheet"

ATTACHED DOCUMENTS:

Annex 1	Technical Data Sheet	Platform	PLM
Annex 2	Maintain Global Parametr _ Dimensions	Platform	PLM
Annex 3	Maintain Global Parametr _ Weight	Platform	PLM
Annex 4	Maintain Global Parametr _ Deliveries	Platform	PLM
Annex 5	List of pallet released	Platform	LES

RESULTS

See" Attached Certificates"

31/08/16

ATTACHED CERTIFICATES:

Certificate number	6AAAL_41-2572272_PHY	Laboratory/ Other	LAB @ TC
Certificate number	2016.07.21_41-2572272_6AAAL_TS CHE_V2.0	Laboratory/ Other	LAB @ PPQ
Certificate number	na	Laboratory/ Other	na

STATEMENT

I hereby certify that :

- The attached information are authentic and accurate;
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- The results of the analyses and assays on which it is based will be provided on request to the competent authorities;
- The batch processing, packaging and analysis records were reviewed and found to be in compliance with PMI RRP manufacturing requirements;
- Any deviation during manufacturing process or during quality control, has been investigated and properly managed according to PMI RRP procedures;
- The batch has been released based on the active PLM technical data sheet at the time of release.

I confirm that I have been granted the authority and independence for making this declaration.

Based on this information the batch is considered:

APPROVED ☒ **REJECTED** ☐

COMMENTS

na

Name, position and address of the person authorising the batch release:	Kerstin Velser - Manager Quality Assurance Via Fratelli Rosselli 4 40069 Zola Predosa Italy

Signature: Margherita Pratt ON BEHALF OF KERSTIN VELSER **Date:** 31/08/16

PHILIP MORRIS INTERNATIONAL	**Batch Release Certificate**
	Record N° P1_0200/2016
Reference Procedure D45013 – Batch release for Commercial Manufacturing	

Product Name:	HeatStick Menthol
(ME) Product code	ME000006.01
Manufacturer	Philip Morris International
Manufacturing site	Training Center
Quality Control sites	Lab @ TC; LAB @ PPQ
Market country	Japan
SAP (ME) Batch number	41-2572273
SAP Process Order	101457518
Produced Quantity (packs)	(b) (4)
Retained and released samples	(b) (4)
Date of manufacture	16/07/2016
Best used before date	12/05/2017

SPECIFICATIONS:

See Attached "PLM Technical Data Sheet" and "PLM Maintain Parameters Sheet"

ATTACHED DOCUMENTS:

Annex 1	Technical Data Sheet	Platform	PLM
Annex 2	Maintain Global Parametr _ Dimensions	Platform	PLM
Annex 3	Maintain Global Parametr _ Weight	Platform	PLM
Annex 4	Maintain Global Parametr _ Deliveries	Platform	PLM
Annex 5	List of pallet released	Platform	LES

RESULTS

See" Attached Certificates"

31/08/16

ATTACHED CERTIFICATES:

Certificate number	6AAAL_41-2572273_PHY	Laboratory/ Other	LAB @ TC
Certificate number	2016.07.21_41-2572273_6AAAL_TSCHE_V2.0	Laboratory/ Other	LAB @ PPQ
Certificate number	na	Laboratory/ Other	na

STATEMENT

I hereby certify that :

- The attached information are authentic and accurate;
- All necessary production and quality control documentation has been completed and endorsed by the staff authorized to do so;
- The results of the analyses and assays on which it is based will be provided on request to the competent authorities;
- The batch processing, packaging and analysis records were reviewed and found to be in compliance with PMI RRP manufacturing requirements;
- Any deviation during manufacturing process or during quality control, has been investigated and properly managed according to PMI RRP procedures;
- The batch has been released based on the active PLM technical data sheet at the time of release.

I confirm that I have been granted the authority and independence for making this declaration.

Based on this information the batch is considered:

APPROVED ☒ **REJECTED** ☐

COMMENTS

na

Name, position and address of the person authorising the batch release:	Kerstin Velser - Manager Quality Assurance Via Fratelli Rosselli 4 40069 Zola Predosa Italy

Signature: Margherita Pratt **Date:** 31/08/16
31/08/16 ON BEHALF OF KERSTIN VELSER